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Direct Dial: (214) 363-4266 lawrence@dy-iplaw.com

June 24, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re:

Serial No.: 10/771,796

Method for Constructing a Wafer-Interposer Assembly

Our File: 1384-1031

Dear Sir:

Enclosed for filing please find the following items relating to the above-identified application:

- (1) Information Disclosure Statement;
- (2) Form PTO/SB/08A;
- (3) Preliminary Amendment Under 37 CFR §1.115; and
- (4) Postcard.

Please file the above and return the date-stamped postcard to our office at the address listed above. In the meantime, if you have any questions or comments concerning this matter, please call the undersigned at your earliest convenience. Otherwise, please accept the enclosed.

Sincerely,

Lawrenge R. Youst Reg. No. 38,795

Enclosures

Date of Deposit: June 24, 2004

CERTIFICATE OF MAILING BY FIRST CLASS MAIL:

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for

Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Lawrence R. Youst



Attorney Docket No.: 1384-1031

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application:

Jerry D. Kline

Serial No.

10/771,796

Filed:

February 2, 2004

Art Unit:

2813

Examiner:

Unassigned

For:

Method for Constructing a Wafer-Interposer Assembly

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

As listed on the accompanying form PTO/SB/08A, submitted herewith are copies of the indicated references which applicant believes may be material to the examination of the above-identified application, and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Further, the filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Written notification that the enclosed references have been considered in their entirety by return of a copy of the enclosed form, completed by the Examiner, is respectfully requested.

IDENTIFICATION OF TIME OF FILING THE INFORMATION DISCLOSURE STATEMENT

- [X] This Information Disclosure Statement is being filed within three months of the filing date of the national application other than a continued prosecution application under §1.53(d) or within three months of the date of entry of the national stage as set forth in §1.491 in an international application or before the mailing date of a first Office Action on the merits or before the mailing of a first Office Action after the filing of a request for continued examination under §1.114. (37 CFR §1.97(b)).
- [] This Information Disclosure Statement is being filed after the period specified in 37 CFR §1.97(b) but before the mailing date of a final action under §1.113 or a notice of allowance under §1.311 or an action that otherwise closes the prosecution in the application. (37 CFR §1.97(c)).

FEE PAYMENT

- [] attached is a check in the amount of \$180.00
- [] charge Deposit Account No. 03-1130 \$180.00
- [X] Applicant believes no additional fees are due for the filing of this IDS. However, if any additional fees are due, or any overpayments have been made, please charge, or credit, Deposit Account No. 03-1130.

Dated this 24th day of June, 2004.

Respectfully Submitted,

Lawrence K. Youst

Attorney for Applicant and Assignee

Registration No.: 38,795

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PTO/SB/08A (02-03)

Approved for use through 04/30/2003. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Under the Paperwork Redu Substitute for form 1449/PTO

Sheet 1

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/771,796		
Filing Date	February 2, 2004		
First Named Inventor	Jerry D. Kline		
Art Unit	2813		
Examiner Name	Unassigned		
Attorney Docket Number	1384-1031		

				DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 3,939,558	02-24-1976	Riley	
		^{US-} 4,577,214	03-18-1986	Schaper	
		^{US-} 4,617,730	10-21-1986	Geldermans et al.	
		^{US-} 4,628,411	12-09-1986	Balceres et al.	
		^{US-} 4,688,151	08-18-1987	Kraus et al.	
		^{US-} 4,868,712	09-19-1989	Woodman	
		^{US-} 4,998,885	03-12-1991	Beaman	
		^{US-} 5,016,138	05-14-1991	Woodman	
		^{US-} 5,060,052	10-22-1991	Casto et al.	
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		^{US-} 5,068,558	02-11-1992	Grube et al.	
		^{US-} 5,123,850	06-23-1992	Elder et al.	
		^{US-} 5,222,014	06-22-1993	Lin	
	•	^{US-} 5,309,021	05-03-1994	Shimamoto et al.	
		US- 5,327,325	07-05-1994	Nicewarner, Jr.	
		^{US-} 5,371,654	12-06-1994	Beaman et al.	
		^{US-} 5,384,691	01-24-1995	Beugebauer et al.	
		^{US-} 5,399,505	03-21-1995	Dasse et al.	
		^{US-} 5,399,898	03-21-1995	Rostoker	

		FORE	<u>IGN PATENT DOCU</u>	MENTS		
Examiner Cite Initials* No.1	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 10/771,796 Filing Date February 2, 2004 INFORMATION DISCLOSURE First Named Inventor Jerry D. Kline Art Unit 2813

STATEMENT BY APPLICANT (Use as many sheets as necessary)

Examiner Name Unassigned Attorney Docket Number 1384-1031 Sheet 2

<u> </u>	T 011	D A N		Name of Patentee or	Pages, Columns, Lines, Where
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US- 5,410,259	04-25-1995	Fujihara et al.	
		^{US-} 5,440,241	08-08-1995	King et al.	
		^{US-} 5,483,421	01-09-1996	Gedney et al.	
		^{US-} 5,489,804	02-06-1996	Pasch	
		^{US-} 5,491,612	02-13-1996	Nicewarner, Jr.	
		^{US-} 5,497,079	03-05-1996	Yamada et al.	
		^{US-} 5,504,369	02-02-1996	Dasse et al.	
		^{US-} 5,531,022	07-02-1996	Beaman et al.	
		^{US-} 5,544,017	08-06-1996	Beilin et al.	
		^{US-} 5,548,223	08-20-1996	Cole et al.	
		^{US-} 5,570,032	10-29-1996	Atkins et al.	
-		^{US-} 5,579,207	11-26-1996	Hayden et al.	
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		^{US-} 5,600,541	02-04-1997	Bone et al.	
		^{US-} 5,612,575	03-18-1997	DeGimy	
		^{US-} 5,615,089	03-25-1997	Yoneda et al.	
		^{US-} 5,635,101	06-03-1997	Pepe et al.	
		^{US-} 5,637,920	06-10-1997	Loo	
		^{US-} 5,654,588	05-03-1997	Dasse et al.	

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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				DOCUMENTS	Daniel Calmana Linea Milana
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
	110.	Number-Kind Code ^{2 (if known)}		, , , , , , , , , , , , , , , , , , , ,	Figures Appear
		^{US-} 5,655,290	08-12-1997	Moresco et al.	
		^{US-} 5,701,666	12-30-1997	DeHaven et al.	
		^{US-} 5,759,047	06-02-1998	Brodsky et al.	
		^{US-} 5,789,807	08-04-1998	Correale, Jr.	
		^{US-} 5,796,746	08-18-1998	Farnworth et al.	
		^{US-} 5,798,652	08-25-1998	Traci	
		^{US-} 5,802,713	09-08-1998	Deamer	
		^{US-} 5,838,060	12-17-1998	Comer	
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		^{US-} 5,844,803	12-01-1998	Beffa	
		^{US-} 5,854,507	12-29-1998	Miremadi et al.	
		^{US-} 5,892,287	04-06-1999	Hoffman et al.	
-		^{US-} 5,897,326	04-27-1999	Eldridge et al.	
		^{US-} 5,929,651	07-27-1999	Leas et al.	
		US- 5,936,847	08-10-1999	Kazle	
		^{US-} 5,942,246	08-24-1999	Mayhew et al.	
		^{US-} 5,943,213	08-24-1999	Sasov	
		^{US-} 5,959,462	09-28-1999	Lum	
	1	^{US-} 5,977,640	11-02-1999	Bertin et al.	

		FORE	IGN PATENT DOCU	MENTS		
Examiner Cite Initials* No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
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Complete if Known

Application Number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 4

Application Number 10/771,796

Filing Date February 2, 2004

First Named Inventor Jerry D. Kline

Art Unit 2813

Examiner Name Unassigned

Attorney Docket Number 1384-1031

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5,984,691	11-16-1999	Brodsky et al.	
		^{US-} 6,002,178	12-14-1999	Lin	
		^{US-} 6,024,275	02-15-2000	Takiar	
		^{US-} 6,032,356	03-07-2000	Eldridge et al.	
		^{US-} 6,034,332	03-07-2000	Moresco et al.	
		^{US-} 6,046,600	04-04-2000	Whetsel	
		^{US-} 6,049,467	04-11-2000	Tamarkin et al.	
		^{US-} 6,050,829	04-18-2000	Eldridge et al.	
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·		^{US-} 6,080,264	06-27-2000	Ball	
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		^{US-} 6,082,610	07-04-2000	Shangguan et al.	
		^{US-} 6,083,773	07-04-2000	Lake	
		^{US-} 6,098,278	08-08-2000	Vindasius et al.	
		^{US-} 6,101,100	08-08-2000	Londa	
		^{US-} 6,133,070	10-17-2000	Yagi et al.	
		^{US-} 6,136,681	10-24-2000	Razon et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Cite Initials* No.1	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
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Signature	Considered	
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-			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 6,154,371	11-28-2000	Oba et al.	
		^{US-} 6,242,279	06-05-2001	Ho et al.	
		^{US-} 6,246,247	06-01-2001	Eldridge et al.	
	Ì	^{US-} 6,281,046	08-28-2001	Lam	
		^{US-} 6,303,992	10-16-2001	Van Pham et al.	
		^{US-} 6,313,522	11-06-2001	Akram et al.	
		^{US-} 6,319,829	11-20-2001	Pasco et al.	
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		^{US-} 6,529,022	03-04-2003	Pierce	
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		^{US-} 5,132,613	07-21-1992	Papae et al.	
		^{US-} 5,794,175	08-11-1998	Conner	
		^{US-} 5,764,071	06-09-1998	Chan et al.	
		^{US-} 6,218,910	04-17-2001	Miller	
		^{US-} 6,242,932	06-05-2001	Hembree	
		^{US-} 6,275,051	08-14-2001	Bachelder et al.	
		^{US-} 6,483,330	11-19-2002	Kline	

FOREIGN PATENT DOCUMENTS							
	Cite No.1	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
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		^{US-} 6,537,831	03-25-2003	Kline	
=		^{US-} 6,483,043	11-19-2002	Kline	
		^{US-} 5,148,265	09-15-1992	Khandros et al.	
		^{US-} 5,148,266	09-15-1992	Khandros et al.	
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		^{US-} 5,347,159	09-13-1994	Khandros et al.	
		^{US-} 5,382,898	01-17-1995	Subramanian	
		^{US-} 5,476,211	12-19-1995	Khandros	
•		^{US-} 5,517,515	05-14-1996	Spall et al.	
		^{US-} 5,518,964	05-21-1996	DiStefano et al.	
		^{US-} 5,532,612	07-02-1996	Liang	
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		^{US-} 5,832,401	11-10-1998	Eldridge et al.	
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		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶

Examiner	Date	
Signature	Considered	

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(Use as many sheets as necessary) **Examiner Name** Unassigned 1384-1031 Attorney Docket Number Sheet 7

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1		MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant
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